

# FUTURE OF THE SECURITIES MARKETS

## NEW TRADING SYSTEMS AND NEW REGULATORY CHALLENGES

Please join us for an evening program with:

### **ROBERT R. GLAUBER**

Chairman and Chief Executive Officer  
NASD

### **BRANDON BECKER**

Partner  
Wilmer Cutler Pickering Hale & Dorr LLP

### **KEVIN J.P. O'HARA**

General Counsel  
Archipelago Holdings, Inc.

### **RICHARD KETCHUM**

Chief Regulatory Officer  
New York Stock Exchange

### **STEPHEN LUPARELLO**

Executive Vice President  
Market Regulation &  
U.S. Exchange Solutions, NASD

### **DAVID SHILLMAN**

Associate Director  
Division of Market Regulation  
U.S. Securities and Exchange Commission

Co-sponsored by:



**The Samuel and Ronnie Heyman  
Center on Corporate Governance**  
Benjamin N. Cardozo School of Law  
Yeshiva University



**Securities Industry Association**  
Compliance & Legal Division

### **Date and Time:**

Wednesday, March 8, 2006  
6:00 p.m. – 8:30 p.m.  
Cocktail reception to follow

### **Location:**

Benjamin N. Cardozo School of Law  
55 Fifth Avenue at 12th Street  
New York, New York

### **To attend:**

Please e-mail your registration information (name, organization, mailing address, e-mail address and telephone number) to [heymancenter@yu.edu](mailto:heymancenter@yu.edu), call the Registration Line at (212) 790-0257, or register online at [www.heyman-center.org](http://www.heyman-center.org). There is no registration fee for this program.