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**MEDIA ADVISORY: SEC AND CFTC COMMISSIONERS AND PANEL OF EXPERTS TO SPEAK AT THE CARDOZO SCHOOL OF LAW ABOUT NEW STRATEGY TO REGULATE FINANCIAL MARKETS**

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**New York, NY** – On April 30, senior financial market regulators from the United States, United Kingdom and Canada will discuss the merits of principles-based regulation.

The roundtable discussion and speeches by SEC Commissioner Roel Campos and CFTC Commissioner Walter Lukken will be delivered at The Samuel and Ronnie Heyman Center on Corporate Governance at the Benjamin N. Cardozo School of Law/Yeshiva University and will be open to the press.

**Who:** **Roel Campos**, SEC Commissioner  
**Walter Lukken**, CFTC Commissioner  
**David Brown**, former Chairman and CEO Ontario Securities Commission and member of Public Interest Oversight Board  
**Dan Waters**, Director of Retail Policy, UK FSA  
**Giovanni Prezioso**, former SEC General Counsel  
**Prof. Eric Pan**, Cardozo School of Law

**When:** **Monday, April 30, 2007** from 6:00p.m.-8:15p.m. ET

**Purpose:** Recent reports on the competitiveness of the US capital markets have suggested that regulation can be made less costly and burdensome if US regulators adopt principles-based regulation. The oft-cited model for US regulators is the UK Financial Services Authority (FSA) which has relied more heavily on principles-based regulation and will be publishing a manifesto about the advantages of principles-based regulation in late April 2007.

This event will be the first time a senior official of the FSA presents the manifesto to a US audience, providing an opportunity for our roundtable participants – including an SEC commissioner and a CFTC commissioner – to discuss the merits of rules- and principles-based regulation.

**Where:** Jacob Burns Moot Court Room, Cardozo School of Law/Yeshiva University, at 55 Fifth Avenue at 12th Street.

For more information or to register, please call (212) 790-0257, e-mail [heymancenter@yu.edu](mailto:heymancenter@yu.edu), or visit [www.heyman-center.org](http://www.heyman-center.org). **Please note, you MUST RSVP for this event – absolutely no press will be admitted without prior registration.**

This event is co-sponsored by The Samuel and Ronnie Heyman Center on Corporate Governance and Securities Industry and Financial Markets Association (SIFMA) Compliance and Legal Division.

**The Samuel and Ronnie Heyman Center on Corporate Governance** was founded at the Benjamin N. Cardozo Law School in 1987 to promote the study and discussion of the role of corporate enterprises and managerial activity in contemporary society. The Heyman Center seeks to raise public and academic awareness of cutting-edge corporate and securities law issues by sponsoring symposia and lectures by prominent legal scholars and political and business leaders and by supporting faculty and student research programs. The director of The Heyman Center is Prof. Eric J. Pan, who can be reached at (212) 790-0831.

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